

BPI Trade FATCA Questionnaire

FATCA INFORMATION – DECLARATION OF INDIVIDUAL ACCOUNT

Note: The information in this section is being collected as part of BPI Group of Companies' new account on-boarding procedures to fully comply with the requirements of Foreign Account Tax Compliance Act (FATCA).

1. Are you a citizen or resident of the United States? YES NO
 If yes, provide the following information
 a. US TIN

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 b. US Address _____

2. Please list all countries for which you hold citizenship: _____

Please confirm your FATCA status by checking the relevant column and indicate the date IRS form is signed.

	Date of IRS Form (MM/DD/YYYY)	Yes	No	If Yes, please provide the following documents
1. US Citizenship				1. IRS Form W-9; AND
2. US Resident - Green Card				2. US identification document (e.g. passport, etc.)
3. US Resident - Reside in the US for 183 days or more				1. IRS Form W-9; AND 2. US or non-US identification document (e.g. passport, driver's license, etc.)

If any of your answer to numbers 1-3 is YES, please do not answer numbers 4 - 8.
If any of your answer to numbers 1-3 is NO, please answer numbers 4 - 8.

4. US Place of Birth				Any of the following: A. 1. IRS Form W-8BEN; AND 2. Copy of Individual's Certificate of Loss of Nationality of the US; AND 3. Non-US identification document (e.g. passport, driver's license, etc.); OR B. 1. Non-US identification document (e.g. passport, driver's license, etc.); AND 2. Written explanation of your renunciation of US citizenship or the reason you did not obtain US citizenship at birth.
5. US Resident/Mailing address (Residence, Correspondence or P.O. Box)				1. IRS Form W-8BEN; AND 2. Non-US identification document (e.g. tax certificate or ID issued by the government or an identification document based on KYC as approved by the IRS in the QI system)
6. US Telephone number				
7. Standing instruction to transfer funds to an account maintained in the US				
8. Power of Attorney or signatory authority granted to a person with US address; or "in care of" or "hold mail" address				Any of the following: 1. IRS Form W-8BEN; OR 2. Non-US identification documents (e.g. tax certificate or ID issued by the government or an identification document based on KYC as approved by the IRS in the QI system)

- o IRS Form W-9 – Request for Taxpayer Identification and Certification. Form W-9 is used by US Person.
- o IRS Form W-8BEN - Certificate of Foreign Status and Beneficial Owner for US Tax Withholding. Form W-8BEN is used by Non-US Person.

DECLARATION, ACKNOWLEDGEMENT AND WAIVER

By signing this form, I hereby certify that the information I provided herein is true, accurate and complete and I agree to notify/update BPI Securities Corporation of any change in any of the information supplied in this form.

I acknowledge to have read, understood and agreed to be bound by the terms and conditions of the deposits, products, services, facilities and/or channels I opened/availed which were provided to me and/or are made available to me via www.bpiexpressonline.com and www.bpitrade.com, as the same may be amended from time to time.

I hereby expressly agree, consent and authorize the Bank and/or its agents, whether manually or via electronic systems, to process, obtain, collect, record, organize, store, update, modify, use, access, share and/or disclose ("Process"), without need of prior notice to me, any and all information relating to my Account(s) in order to (a) facilitate, monitor, improve the quality of, or otherwise service my account and such products, services, facilities and/or channels availed by me, and (b) to comply with legal, regulatory or other obligations of the Bank under applicable local or foreign laws, rules and regulations that impact the Bank, such Processing may be conducted for the duration, and even after the termination, of my avancement of the Bank's products, services, facilities and/or channels. As used herein, the term "Bank" shall include the Bank's local or foreign branches, its parent company, any member of the BPI Group of Companies, and their respective subsidiaries, affiliates or such related companies, whether organized in the Philippines or in other jurisdictions, and the Bank's agents, representatives and outsourced service providers and their respective outsourced service providers. Without limiting the generality of the foregoing express consent and authorization, I hereby grant the Bank full permission to Process information pertaining to my Account/s as may be required under the following.

- 1) Anti-Money Laundering Act as amended;
- 2) The United States Foreign Account Tax Compliance Act ("FATCA") and the regulations of the United States Internal Revenue Services ("US IRS") as may be amended from time to time; and/or
- 3) Such other rules and regulations which may be issued by the government or other regulatory bodies of the Philippines or by other foreign jurisdictions.

I declare that the above information and information in the submitted documents to be true, correct and updated.

Customer Signature over Printed Name: _____ Date Signed: _____
 Signature Verified by: _____

FOR INTERNAL USE ONLY: TO BE FILLED OUT BY OFFICER

BANK'S ASSESSMENT OF CUSTOMER'S FATCA CLASSIFICATION: Customer is US Person Non-US Person

RM Number:

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DECLARATION AND ACKNOWLEDGMENT

I declare that the required account opening verification has been performed on the documents for the customer listed above, and that the information provided is true, correct, and updated.

Officer Name: _____ Officer Signature _____
 Employee Number: _____ Date:

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